

Chitosan-*g*-estrone Nanoparticles of Palbociclib Vanished Hypoxic Breast Tumor after Targeted Delivery: Development and Ultrasound/Photoacoustic Imaging

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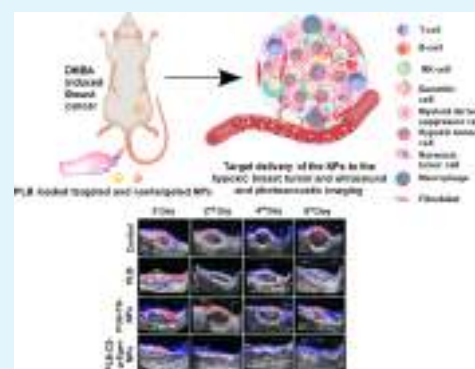


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ABSTRACT: Breast cancer is the leading cause of death among women globally. Approximately 80% of all breast cancers diagnosed are overexpressed with estrogen receptors (ERs). In this study, we have developed an estrone (Egen)-grafted chitosan-based polymeric nanocarrier for the targeted delivery of palbociclib (PLB) to breast cancer. The nanoparticles (NPs) were prepared by solvent evaporation using the ionic gelation method and characterized for particle size, zeta potential, polydispersity, surface morphology, surface chemistry, drug entrapment efficiency, cytotoxicity assay, cellular uptake, and apoptosis study. The developed PLB-CS NPs and PLB-CS-*g*-Egen NPs had a particle size of 116.3 ± 1.53 and 141.6 ± 1.97 nm, respectively. The zeta potential of PLB-CS NPs and PLB-CS-*g*-Egen NPs was found to be 18.70 ± 0.416 and 12.45 ± 0.574 mV, respectively. The morphological analysis demonstrated that all NPs were spherical in shape and had a smooth surface. An *in vitro* cytotoxicity assay was performed in estrogen receptor (ER)-expressing MCF7 cells and T47D cells, which suggested that targeted NPs were 57.34- and 30.32-fold more cytotoxic compared to the pure PLB, respectively. Additionally, cell cycle analysis confirmed that cell cycle progression from the G1 into S phase was blocked more efficiently by targeted NPs compared to nontargeted NPs and PLB in MCF7 cells. *In vivo* pharmacokinetic studies demonstrated that entrapment of the PLB in the NPs improved the half-life and bioavailability by ~ 2 – 3 -fold. Further, ultrasound and photoacoustic imaging of DMBA induced breast cancer in the Sprague-Dawley (SD) rat showed that targeted NPs completely vanished breast tumor, reduced hypoxic tumor volume, and suppressed tumor angiogenesis more efficiently compared to the nontargeted NPs and free PLB. Further, *in vitro* hemocompatibility and histopathology studies suggested that NPs were biocompatible and safe for clinical use.



KEYWORDS: breast tumor, estrogen receptor targeted drug delivery, *in vivo* imaging, palbociclib, ultrasound and photoacoustic imaging

INTRODUCTION

Breast cancer is the most common type of cancer in women in the United States and the second leading cause of death among women. About 250,000 new cases of breast cancer are reported in the United States each year.¹ Breast tumor affects one in every 20 women globally and up to one in every eight women in high-income countries, which continues to be the most common cancer-related complication for women.² There are important biomarkers that are overexpressed in breast cancers, which include the estrogen receptor (ER), progesterone receptor (PR), and human epidermal growth factor receptor 2 (HER2). Broadly, on the basis of the receptor expressions, breast cancer is categorized into triple-positive breast cancer (ER, PR, and HER2 are overexpressed) and triple-negative breast cancer (devoid of all three receptors). It has been reported that around 83% of breast cancer patients are hormonal receptor (ER+/PR+) positive and responsive toward hormonal treatment for preventing the proliferation of the

breast tumor cells.^{3,4} Additionally, it has been reported that $\sim 80\%$ of breast cancers diagnosed are ER+.⁵ ERs are widely distributed and overexpressed in breast cancers. Generally, ERs are located on the plasma membrane (mER) and nuclear (ER α and ER β) segment of the breast cancer cells. The expression of the ER in normal breast cells is below 10%, but in cancer cells, overexpression exceeds 80%.⁶

The existing treatment strategy for tumors includes chemotherapy, which causes the cancer cells to shrink, accompanied by surgical resection of the tumor cells. Hormone therapy, radiation therapy, and other cutting-edge techniques

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57 like immunotherapy, photothermal therapy, and genomic
58 therapy are then utilized to prevent the reoccurrence of
59 tumor in the future.⁷ As a result, it is crucial to design a
60 combinational therapeutic system using two or more
61 approaches to eliminate their drawbacks and provide a
62 synergistic result.^{8–10} Regrettably, chemotherapy has a variety
63 of severe side effects, including neurotoxicity and hyper-
64 sensitivity. This is mainly because chemotherapy is nonspecific
65 and harms both normal body cells and malignant cells.^{11,12}
66 Additionally, the aqueous solubility of several anticancer
67 medicines is limited. Several multipurpose therapeutic systems
68 have been developed to increase anticancer effectiveness while
69 minimizing the adverse effects of chemotherapeutic drugs on
70 normal tissues.¹³ Because of the enhanced permeability and
71 retention (EPR) effect, nanoparticle drug delivery technologies
72 in particularly can result in the preferential deposition of
73 medicines inside solid tumors.¹⁴ Estrone (Egen) is a type of
74 estrogen that specifically binds with the ER and has been
75 utilized for the development of the targeted drug delivery
76 system for ER+ breast cancers.¹⁵ In a study, Kurmi *et al.*
77 developed Egen conjugated chitosan (CS) and doxorubicin as
78 dual cancer targeted nanoparticles (NPs) for anticancer activity
79 in MCF7 cells. Enhancement in the cellular cytotoxicity of
80 doxorubicin loaded NPs compared to free doxorubicin was
81 observed in MCF7 cells due to the functionalization of Egen.¹⁶
82 In another study, Tang *et al.* developed Egen functionalized
83 PEGylated liposomes for the co-delivery of epirubicin and
84 paclitaxel to ER overexpressed breast cancers. Higher cellular
85 uptake and increased tumor accumulation of the targeted NPs
86 were achieved in the MCF7 cells and MCF7 derived tumor
87 bearing mouse model, respectively, due to the functionalization
88 of Egen. Additionally, targeted NPs were able to produce
89 significant tumor suppression properties without producing
90 any toxic effects.¹⁷

91 Palbociclib (PLB) is a chemotherapeutic agent developed by
92 Pfizer that inhibits the cyclin-dependent kinases CDK4 and
93 CDK6 in the treatment of advanced breast cancer.¹⁸ The
94 common side effects associated with PLB includes neutrope-
95 nia, leukopenia, anemia, and fatigue. Additionally, PLB has
96 been found to produce toxicity to the vital organs such as the
97 lungs,¹⁹ liver,²⁰ and reproductive organs²¹ and in the fetus,
98 which is mainly associated with the off-target delivery of PLB.
99 Further, the development of resistance by tumor cells toward
100 the chemotherapeutic agents is very common because of the P-
101 gp efflux pump that effluxes the anticancer drug out of the
102 cancer cells.²² Researchers have developed an interest in
103 nanotechnology-based cancer therapy strategies due to the
104 enhancement in drug solubility and bioavailability, decrease in
105 toxicity in normal cells, and great promise for tailoring the
106 delivery of drugs to specified parts of the body.^{23,24} Polymeric
107 NPs, solid lipid NPs, micelles, and silica NPs are a few
108 examples of the various nanomedicines that have been
109 developed to effectively deliver drugs to advanced mammary
110 carcinomas. These approaches have the potential to be the
111 next generation of drug delivery to combat resistant and
112 metastatic cancerous cells.^{25,26} Recently, Kommineni *et al.*
113 designed PLB loaded stealth liposomes for improving the
114 pharmacokinetic profile and anticancer activity in triple-
115 negative 4T1 breast cancer cells. The liposomal system was
116 1.63-fold more cytotoxic and had 1.45-fold increased AUC_{tot}
117 relative to free PLB.²⁷ Moreover, Huang *et al.* developed a
118 dimeric prodrug of the PLB linked with a thioketal bond
119 (reactive oxygen species responsive) and co-loaded with Ce6

for combined chemo-photodynamic therapy of triple-negative 120
breast cancers.²⁸ Apart from these, tumor hypoxia has 121
displayed a key role in breast cancer therapy. Hypoxic breast 122
tumors are highly aggressive, prone to metastasis, and resistant 123
to anticancer drug treatment.²⁹ Hypoxia arises in tumors 124
because of the rapid proliferation of the tumor cells and poor 125
vascularization, which leads to the distancing of the cells from 126
the nearest source of oxygen.³⁰ It has been reported that 127
hypoxic tumors are three times more resistant to therapy 128
compared with normoxic tumors.³¹ Additionally, the limited 129
vascularization in the hypoxic tumor acts as a barrier to the 130
tumor permeability of the anticancer drug.³² 131

Therefore, considering the therapeutic requirement for 132
treating advanced-stage breast cancers, a targeted drug delivery 133
system may be a solution that allows the targeted delivery of 134
the loaded drug to tumors. So, here we proposed ER targeted 135
NPs loaded with PLB for the targeted therapy of advanced- 136
stage breast cancers. First, acid functionalized estrone (Egen- 137
COOH) was covalently conjugated with a CS free amino 138
group (i.e., chitosan-g-estrone). Second, PLB was loaded into 139
the CS NPs with the surface assembly of the estrone by 140
modified solvent evaporation with the ionic gelation method. 141
The NPs were characterized for particle size, zeta potential, 142
polydispersity, surface morphology, surface chemistry, drug 143
entrapment efficiency, *in vitro* drug release, X-ray diffraction 144
study, hemolysis, hemocompatibility study, cytotoxicity assay, 145
cellular uptake, apoptosis study, and cell cycle analysis. 146
Coumarin 6 (CM6) loaded NPs were studied for qualitative 147
cellular uptake in the ER overexpressed breast cancer cell lines 148
(i.e., MCF7 and T47D cells). Further, in the *in vitro* 149
cytotoxicity assay of PLB, nontargeted and targeted NPs 150
were evaluated in the MCF7 and T47D cells to calculate IC₅₀ 151
values, which indicate the concentration of the drug required 152
to kill 50% of the cancer cells after 24 h of incubation with the 153
formulation. Additionally, pharmacokinetic study and vital 154
organ (brain, lungs, liver, kidney, and spleen) histopathology 155
study were performed in healthy rats for the purpose of 156
assessing pharmacokinetic parameters and any toxicity to the 157
vital organs due to formulation treatments. Moreover, the 158
antitumor activity of the NPs was evaluated in DMBA induced 159
hypoxic breast cancer SD rats, and simultaneous imaging of the 160
breast cancer was performed by ultrasound and a photo- 161
acoustic imaging system. 162

163 ■ MATERIALS AND METHODS

Materials. Palbociclib was provided by Sun Pharmaceutical 164
Industries Ltd. (Gurugram, India) as a gift sample. Chitosan (CS) 165
(molecular weight ~1.5 kDa, degree of deacetylation ≥90%), estrone 166
(Egen), succinic anhydride (SA), Na-tripolyphosphate, 4-dimethyl- 167
aminopyridine (DMAP), *N*-hydroxysuccinimide (NHS), triethylamine 168
(TEA), and *N*-(3-dimethylaminopropyl)-*N*-ethylcarbodiimide (EDC) 169
were supplied by Sisco Research Laboratories Pvt. Ltd. (SRL, India). 170
The human breast cell lines MCF7 and T47D were procured from 171
NCCS Pune, India. Dulbecco's modified Eagle medium (DMEM), 172
fetal bovine serum (FBS), trypsin-EDTA, penicillin-streptomycin 173
solutions, and 12-well cell culture plates were purchased from Cell 174
Clone (Genetix Biotech Asia Pvt. Ltd.). The T-25 cell culture flask 175
and 96-well plates were obtained from Eppendorf. 1,1'-Diocetadecyl- 176
3,3',3'-tetramethylindodicarbocyanine, 4-chlorobenzenesulfonate 177
salt (DiD dye) was purchased from Thermo Fisher Scientific, India. 178
The remaining chemicals used in the experiment were of analytical 179
grade. 180

181 ■ METHODS

182 **Synthesis of the Chitosan-*g*-estrone (CS-*g*-Egen) Conju-**
 183 **gate.** *Activation of Egen-COOH.* The carboxylic acid group was
 184 functionalized to Egen by a ring opening polymerization reaction in
 185 the presence of SA and DMAP.³³ Egen (1000 mg, 3.7 mmol), TEA
 186 (112 mg, 11.12 mmol), and DMAP (46 mg, 0.37 mmol) were
 187 solubilized in dry THF (8 mL) under magnetic stirring in a round-
 188 bottom flask, and then SA (740 mg, 7.4 mmol) was added to the
 189 above mixture and stirred until completely dissolved. The above
 190 reaction mixture was agitated for 42 h at 25 ± 2 °C, and then samples
 191 were further concentrated by vacuum centrifugation and dissolved in
 192 10 mL of distilled water. The pH of the resultant solution was
 193 adjusted to pH 9–10 by using K₂CO₃. The above reaction mixture
 194 was filtered to remove the unreacted reactants. Further, the filtrate
 195 was adjusted to pH 1–2 with dil. HCl. The formed precipitate was
 196 separated and dried to get Egen-COOH (1265.5 mg, 92% yield).³⁴

197 *Conjugation of CS with Activated Egen-COOH.* Carbodiimide
 198 chemistry was used for the preparation of the Egen-COOH
 199 conjugated CS (CS-*g*-Egen) graft polymer. The conjugation reaction
 200 was mediated by NHS/EDC. The mixture of Egen-COOH (160 mg,
 201 0.6 mmol), NHS (69 mg, 0.6 mmol), and EDC (115 mg, 0.6 mmol)
 202 was transferred into anhydrous dichloromethane (DCM) and stirred
 203 by a magnetic stirrer (REMI 1 MLH) at room temperature. Following
 204 the evaporation of DCM, the residue was transferred to the solution
 205 of CS in 1% v/v glacial acetic acid at pH 4. The reaction was finished
 206 after 24 h, and the graft polymer was lyophilized (Labocon 4.5 L,
 207 United Kingdom) after being dialyzed for 72 h against distilled water
 208 to eliminate any remaining free reactants.²²

209 *Characterization of the CS-*g*-Egen Conjugate.* CS, Egen, Egen-
 210 COOH, and CS-*g*-Egen were characterized by FTIR, NMR, and mass
 211 spectroscopy for their identification and confirmation of Egen-COOH
 212 and CS-*g*-Egen synthesis.

213 *Degree of Egen Substitution.* The degree of Egen substitution on
 214 CS was estimated with the help of a multimode microplate reader
 215 (Molecular Devices, USA). Preferentially, the CS-*g*-Egen (4 mg)
 216 sample was sonicated in a water bath sonicator for 4 h in a solution of
 217 DMSO/DCM (8:2). The vortexed samples were subjected to
 218 centrifugation (REMI Cooling Centrifuge) for 30 min at 10,000
 219 rpm and then filtered and analyzed in the UV mode of the microplate
 220 reader. The concentration of Egen in the sample was estimated by
 221 using a standard calibration curve of Egen plotted at λ_{max} of 296
 222 nm.^{35,36} The following formula was used for the calculation:

$$\text{Degree of Egen substitution} = \frac{\text{Egen}/\text{MWEgen}}{(\text{CSEgen} - \text{Egen})/\text{MWCS}} \quad (1)$$

224 where Egen indicates the concentration of estrone in the sample,
 225 MWEgen is the molecular weight of Egen, CSEgen represents the
 226 amount of CS-*g*-Egen used in this experiment, and MWCS is the
 227 molecular weight of CS.

228 **Preparation of NPs.** The PLB loaded nontargeted nanoparticles
 229 (PLB-CS NPs) and targeted nanoparticles (PLB-CS-*g*-Egen NPs)
 230 were formulated by modified solvent evaporation with an ionic cross-
 231 linking method.³⁵ Briefly, 30 mg of the CS was dissolved in 4 mL of
 232 the 0.2% v/v glacial acetic acid solution, and the pH of the mixture
 233 solution was raised to pH 5.5 with the help of NaOH. Further, 20 mg
 234 of the TPGS was dissolved in the above solution. Concisely, 1 mL of
 235 chloroform containing 3 mg of PLB was transferred to the above CS
 236 solution under ultrasonication, and an emulsion was made by utilizing
 237 an ultrasonic homogenizer (Hielscher UP200H, Germany). The
 238 ultrasonic homogenizer was set at an amplitude of 60%, and
 239 emulsification was performed for 3 min. The formed emulsion was
 240 subjected to magnetic stirring for 4 h to complete the evaporation of
 241 the chloroform, and then 1 mL of the solution of sodium
 242 tripolyphosphate (sod. TPP, 1 mg/mL) was added dropwise to the
 243 NP suspension for the cross-linking under magnetic stirring. The
 244 larger NPs were removed by centrifugation at 3000 rpm for 5 min.
 245 Further, the NP suspension was subjected to centrifugation at 10,000
 246 rpm for 15 min, the transparent supernatant was discarded, and the

NP pellets were washed with distilled water. The pellets were 247
 248 redispersed in 10 mL of normal saline (pH 7.4).

249 Targeted NPs were prepared in a similar manner by replacing 10
 250 mg of CS with 10 mg of CS-*g*-Egen. Similarly, CM6 loaded NPs of all
 251 CS NPs were prepared by replacing PLB with 0.3 mg of CM6 for the
 252 cellular uptake study. Further, 2 μg DiD was used instead of PLB for
 253 the preparation of the DiD-CS NPs and DiD-CS-*g*-Egen NPs by a
 254 similar process for the *in vivo* fluorescence imaging study in the rats.
 255 The composition of the various NPs formulation is presented in Table
 256 1.

Table 1. Composition of the Various NP Formulations^a

batches	CS (mg)	TPGS (mg)	CS- <i>g</i> -Egen (mg)	palbociclib (mg)	CM6 (mg)	sod. TPP (mg)
blank CS NPs	30	20				1
PLB-CS NPs	30	20		3		1
PLB-CS- <i>g</i> -Egen NPs	20	20	10	3		1
CM6-CS NPs	30	20			0.3	1
CM6-CS- <i>g</i> -Egen NPs	20	20	10		0.3	1

^aBlank CS NPs: blank chitosan nanoparticles; PLB-CS NPs: nontargeted PLB loaded chitosan nanoparticles; PLB-CS-*g*-Egen NPs: estrogen receptor targeted PLB loaded chitosan nanoparticles; CM6-CS NPs: nontargeted coumarin-6 loaded chitosan nanoparticles; and CM6-CS-*g*-Egen NPs: estrogen receptor targeted coumarin-6 loaded chitosan nanoparticles.

Characterization of Nanoparticles. *Particle Size, Zeta Potential, and Polydispersity Index.* The prepared NPs were 257
 258 evaluated for their mean particle size and zeta potential by using a
 259 Zetasizer (Nano ZS90, Malvern Instruments). All the values reported
 260 are the means of the three values. Particle size analysis was based on
 261 dynamic light scattering (DLS), and zeta potential was analyzed on
 262 the basis of the electrophoretic mobility of the particles under electric
 263 fields.³⁷ 264

Entrapment Efficiency and Drug Loading Capacity. The 265
 266 percentage of the entrapped drug inside the NPs was estimated by
 267 using a validated RP-HPLC (Shimadzu LC-20AR, Japan) analytical
 268 method.³⁸ Briefly, 0.2 mL of the NP suspension was evaporated in a
 269 rotary evaporator, and the residue was dispersed in 1 mL of methanol
 270 and sonicated in a water bath sonicator for 1 h to break the NPs. The
 271 samples were centrifuged at 10,000 rpm for 15 min, filtered through a
 272 0.22 μm nylon filter, and analyzed by HPLC after suitable dilution in
 273 the mobile phase. The standard calibration curve of the PLB was
 274 linear in the range of 10–60 ng/mL ($R^2 = 0.9994$). The HPLC
 275 method was used for the PLB analysis consisting of mobile phase A:
 276 acetonitrile and mobile phase B: methanol in the ratio of 30:70. The
 277 chromatographic conditions for the RP-HPLC analysis were 1 mL/
 278 min flow rate, 100 μL injection volume, 4.8 min retention time, and a
 279 photodiode array detector (λ_{max} = 355). A Shimadzu Shim-pack C18
 280 column was used in the HPLC.

281 The percentage entrapment of the CM6 in the NPs was calculated
 282 by using the fluorescence mode of the microplate reader. After the
 283 sample preparation, readings were taken in the fluorescence mode
 284 with excitation at 462 nm and emission at 502 nm in chloroform, and
 285 a standard curve was linear (10–100 ng/mL) with $R^2 = 0.999$.

286 The entrapment efficiency (EE) was estimated by using the
 287 following equation:

$$\text{Entrapment efficiency(\%)} = \frac{\text{amount of the drug entrapped in the NPs}}{\text{amount of the drug used in the NP preparation}} \times 100 \quad (2)$$

289 **High-Resolution Scanning Electron Microscopy (HR-SEM).** At
290 room temperature, the morphologies of the developed PLB-CS NPs
291 and PLB-CS-g-Egen NPs were captured by using a high-resolution
292 electron microscope (Nova Nano SEM 450, FEI USA). The HR-SEM
293 was set at 15 kV with magnification of 200 KX and 350 KX. The PLB-
294 CS NP and PLB-CS-g-Egen NP suspensions were further diluted 10
295 times with distilled water, and a drop of the sample was casted on a
296 separate glass slide, evenly spread, and dried for 12 h in a vacuum
297 dryer. The prepared samples were coated with carbon, and
298 microscopic images were captured.³⁹

299 **High-Resolution Transmission Electron Microscopy (HR-TEM).**
300 PLB-CS NP and PLB-CS-g-Egen NP images were captured by using
301 HR-TEM (Tecnai G2 20 TWIN, FEI USA). The NP samples were
302 diluted 10-fold with distilled water and casted on a separate carbon
303 coated copper TEM grid with a 400 mesh size. The NP casted TEM
304 grid was vacuum dried, and microscopic images were captured at a
305 voltage of 100 kV.⁴⁰

306 **Atomic Force Microscopy (AFM).** Additionally, two-dimensional
307 (2D) and three-dimensional (3D) images of the NPs were captured
308 by using AFM (NTEGRA Prima, Netherlands). A drop of NP
309 suspensions after 10 times dilution with distilled water was casted on
310 the individual glass slide and homogeneously distributed to form a
311 thin film. Further, samples casted as a film were dried in the vacuum
312 dryer under reduced pressure. The images were captured and
313 processed by using the AFM image analysis software (Nova Px,
314 NT-MDT Netherlands).⁴¹

315 **Surface Chemistry.** Surface chemistry analysis of the PLB-CS NPs
316 and PLB-CS-g-Egen NPs was done by utilizing X-ray photoelectron
317 spectroscopy (XPS; Thermo Scientific K-Alpha XPS System) to
318 confirm the NP surface elemental composition in fixed transmission
319 mode with binding energies in the range of 0–800 eV. Similarly,
320 sample preparation for the XPS involves the casting of a suitably
321 diluted NP suspension in distilled water on a glass slide, and after
322 drying in the vacuum oven under reduced pressure, samples were
323 analyzed.²³

324 **Egen Surface Content.** The content of the Egen in PLB-CS-g-Egen
325 NPs was calculated by a multimode microplate reader (Molecular
326 Devices, USA). In brief, 0.2 mL of the NPs was lyophilized and
327 transferred into a mixture of DMSO/DCM (4:1). The sample was
328 subjected to vortexing for 4 h and centrifuged. The supernatant was
329 collected and passed through a 0.22 μm filter, and the filtrate was
330 analyzed in the UV–vis mode of the microplate reader at a fixed λ_{max}
331 = 296 nm.⁴² Similarly, the total Egen content used in the NPs was
332 determined by using 10 mg of the CS-g-Egen polymer. The analysis
333 was performed without any interference from the PLB due to the wide
334 difference in the detection λ_{max} of PLB and Egen. The samples were
335 compared with the standard calibration curve of Egen. The total Egen
336 content in the PLB-CS-g-Egen NPs was determined by the following
337 formula:

$$\begin{aligned} &\text{Egen surface content (\%)} \\ &= \frac{\text{Egen content determined in the nanoparticles}}{\text{total Egen content used in the nanoparticles}} \times 100 \end{aligned} \quad (3)$$

339 **X-ray Diffraction Study.** X-ray diffraction (XRD) is the character-
340 ization technique used for the observation of any crystalline peaks in
341 the excipients, drugs, and their formulations. The physical state of the
342 drug in the formulation was determined by using a Rigaku MiniFlex
343 X-ray diffractometer. A voltage of 40 kV and a current of 15 mA were
344 applied to the samples, 5–50° was the sample scanning range (2θ),
345 and the scan speed was 7°/min.⁴³

346 **In Vitro Studies. In Vitro Drug Release.** The *in vitro* drug release
347 of the PLB from the NPs was evaluated in phosphate buffer saline
348 (PBS pH 7.4) and acetate buffer (pH 5.5). The principle of dialysis
349 bag diffusion was applied for the understanding of the PLB release
350 behavior from the NPs. The volume of the NPs equivalent to 0.3 mg
351 PLB was transferred to a dialysis bag (1 kDa, MWCO), sealed
352 hermetically, and immersed into 100 mL of the release medium. The
353 system was maintained at 37 \pm 5 °C with continuous shaking at 100
354 rpm. An equal volume of the fresh release medium was replaced after

each predetermined sampling time point from the receptor compart- 355
ment. The collected samples were appropriately diluted and filtered 356
through a 0.22 μm PVDF filter before being collected into the HPLC 357
vials. *In vitro* release of the PLB was calculated by HPLC; the 358
analytical method was similar to that used for the calculation of 359
entrapment efficiency.²² 360

Hemolysis and Hemocompatibility Study. The compatibility of 361
the developed formulation with human blood was evaluated for the 362
understanding of the effect of the NPs on the red blood cells. A 363
sample of 5 mL blood was collected from the blood bank in a tube 364
containing EDTA, and RBCs were separated by centrifugation at 365
2000 rpm. The formed pellets of the RBCs at the bottom of the 366
centrifuge tube were washed two to three times with normal saline 367
and suspended in normal saline. Further, 900 μL of RBC suspension 368
was incubated with 100 μL of various formulations (i.e., PLB, PLB-CS 369
NPs, and PLB-CS-g-Egen NPs). For positive control, RBCs were 370
suspended in distilled water, whereas negative control or blank RBCs 371
were suspended in normal saline. These samples were incubated at 372
°C for 1 h under gentle shaking. The distilled water causes 100% 373
hemolysis due to the permeation of the water to the RBCs, causing 374
them to swell and burst. After incubation, a drop of the samples was 375
casted on the separate glass slides, and the smear was prepared and 376
stained with Leishman stain. After completion of the staining 377
procedure, images of the stained RBCs were captured by using a 378
bright microscope. Further, incubated samples were centrifuged for 5 379
min at 2000 rpm. The supernatant of the samples was collected, and 380
absorbance was measured at 540 nm using a multimode microplate 381
reader (UV–vis mode). As per the ASMT E2524-22 testing method 382
for the hemolysis of the NPs, the negative control should not be more 383
than 2%, and if the hemolysis % of the test materials is more than 5%, 384
it indicates that they may cause hemolysis if administered intra- 385
venously.⁴⁴ 386

$$\begin{aligned} \text{\%Hemolysis} &= \frac{\text{Abs test} - \text{Abs negative control}}{\text{Abs positive control} - \text{Abs negative control}} \\ &\times 100 \end{aligned} \quad (4)$$

Cell Culture and Cell Line Maintenance. Mammalian breast 388
cancer cell lines T47D and MCF7 were cultured in Dulbecco's 389
modified Eagle medium (DMEM) containing 10% fetal bovine serum 390
(FBS) along with penicillin–streptomycin antibiotic solutions. The 391
cells were grown in a humidified CO₂ incubator, and 5% CO₂ was 392
supplied throughout the experimentation. 393

In Vitro Cytotoxicity Assay. The *in vitro* cytotoxicity activity of the 394
free PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs was evaluated in the 395
estrogen overexpressed breast cancer cell lines (T47D and MCF7). 396
Briefly, 1 \times 10⁴ cells were seeded in each well of 96-well cell culture 397
plates in DMEM and maintained overnight in a CO₂ humidified 398
incubator (5% CO₂) at 37 °C. After overnight incubation, utilized 399
media were eliminated, and the cells were treated with the free drug 400
PLB-CS-g-Egen NPs at different concentrations (0.01, 0.1, 1, 10, and 401
100 $\mu\text{g}/\text{mL}$), diluted in DMEM, and incubated for 24 h. After drug 402
incubation, the drug containing medium was discarded, and fresh 403
MTT containing medium was added into each well and incubated for 404
4 h. The treatment medium was gently shaken without disturbing the 405
formazan crystal. Additionally, crystals were washed and dried for an 406
additional 2 h followed by washing and drying for another 2 h. Finally, 407
100 μL of DMSO was added into each well and gently shaken on the 408
gyratory shaker for 30 min. Afterward, the optical density of the 409
samples was taken at 570 nm by using a microplate reader (Multiplate 410
reader, BioRad).⁴⁵ Similarly, % cellular viability of MCF7 and T47D 411
cells was estimated after treatment with blank nontargeted NPs and 412
blank targeted NPs. The percentage cellular viability was estimated by 413
using the following formula: 414

$$\begin{aligned} \text{\%Cellular viability} &= \frac{\text{absorbance of the treated cells}}{\text{absorbance of the control cells}} \times 100 \end{aligned} \quad (5)$$

Cellular Uptake Study. The cellular uptake of free CM6, CM6- 416
CS NPs, and CM6-CS-g-Egen NPs was studied in the MCF7 cell line 417

418 by confocal microscopy (super resolution confocal microscopy, Leica,
419 Germany). In brief, 1×10^5 MCF7 cells were grown for 24 h on a
420 cover slip in six-well cell culture plates. Then, cells were incubated
421 with each of the free CM6, CM6-CS NPs, and CM6-CS-g-Egen NPs
422 at $5 \mu\text{g}/\text{mL}$ concentration for 2 h. Then, cells were washed two times
423 with cold PBS. After incubation, the cells were fixed with 4%
424 paraformaldehyde. Further, cells were again washed three times with
425 cold PBS. Fixed cell nuclei were stained by incubation with propidium
426 iodide (PI) for another 30 min. For the receptor blocking study, cells
427 were treated with free Egen ($2 \text{ mg}/\text{mL}$) prior to 6 h of treatment with
428 CM6-CS-g-Egen NPs. Finally, the cell monolayers were captured by
429 using confocal microscopy.⁴⁵

430 **Apoptosis Study with Hoechst/PI Dual Staining.** The
431 apoptotic potential of free drug and receptor mediated drug delivery
432 was determined by the Hoechst 33342/PI dual staining method.
433 Briefly, 1×10^5 MCF7 and T47D cells were seeded separately in 12-
434 well cell culture plates separately. Then, cells were treated with free
435 PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs for 24 h at 37°C in
436 CO_2 . For the receptor blocking study, cells were treated with $2 \text{ mg}/$
437 mL of free Egen prior to 6 h of PLB-CS-g-Egen NP treatments. After
438 24 h of incubation, the drug containing medium was discarded, and
439 the cells were stained with a $10 \mu\text{g}/\text{mL}$ concentration of Hoechst/PI
440 and incubated for 30 min. Then, the cells were washed with PBS, and
441 finally, images were taken by a fluorescence microscope (EVOS FL
442 live cell imaging system) at $40\times$.⁴⁶

443 **Cell Cycle Analysis.** Cell cycle analysis of free PLB, PLB-CS NPs,
444 and PLB-CS-g-Egen NPs was performed in MCF7 cell lines.⁴⁷ In
445 brief, 1×10^5 MCF7 cells were seeded in a six-well cell culture plate
446 and allowed to grow. Then, cells were incubated separately with free
447 PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs for 24 h. After
448 incubation, the cells were harvested with 1 mM EDTA in cold PBS
449 followed by fixing with 75% ethanol (ice cold). After fixation, the cells
450 were kept at -20°C overnight, and then, the cells were incubated
451 with a mixture of $1 \mu\text{g}/\text{mL}$ of PI, 0.1% of Triton-X, and $200 \mu\text{g}/\text{mL}$ of
452 RNase A. The stained cells were maintained at room temperature in
453 the absence of light for 30 min prior to analysis. Finally, the cells were
454 subjected to flow cytometry for the analysis (CytoFLEX S N2-V4-B2-
455 Y4, Beckman Coulter, United States).

456 **In Vivo Studies. Pharmacokinetic Study.** Female Sprague-
457 Dawley (SD) rats weighing 150–200 g and 45–60 days old were
458 procured from the animal house of IMS BHU, India. All the
459 experimental protocols related to the animal studies (three rats per
460 group) were approved by the Institutional Animal Ethics Committee
461 (IAEC), IIT BHU, India. All the rats were maintained at room
462 temperature and supplied with water and standard rat feed under
463 natural light/dark conditions for 1 week before experiments. All the
464 rats were randomly segregated into four groups, and each group
465 consisted of three animals each ($n = 3$). The first group of rats was
466 given blank NPs intravenously, the second group of rats was
467 administered with an intravenous injection of free PLB (suspension
468 in sterile normal saline), the third group of rats was administered with
469 PLB-CS NPs, and the fourth group received PLB-CS-g-Egen NPs.²²
470 Prior to the intravenous injections, all the nanoparticles were passed
471 through a $0.45 \mu\text{m}$ syringe filter followed by terminal sterilization
472 under UV light for 2 h. Intravenous injection of the different
473 formulations was given at a dose of $5.91 \text{ mg}/\text{kg}$ body weight.
474 Following the administration of the different formulation, 0.4 mL of
475 blood from rats was collected in heparinized tubes under mild
476 anesthetic conditions. The sampling time points of blood collections
477 were 0.5, 1, 2, 4, 8, 12, 24, and 48 h. Plasma from each sample was
478 separated by centrifugation (5000 rpm , 5 min) at 4°C . The plasma
479 protein was precipitated by the addition of an equal volume of
480 acetonitrile to the plasma samples. Further, samples were centrifuged
481 at $15,000 \text{ rpm}$ (10 min) to remove the precipitated protein. Finally,
482 the supernatant was collected, filtered through a syringe filter, and
483 analyzed by a validated HPLC method after suitable dilution with the
484 mobile phase.

485 **Histopathology Study.** The safety of the prepared formulations
486 was analyzed by a histopathological study to report any toxicity that
487 appeared after multiple administrations of the formulation to the rats

($n = 3$). The PLB $5.91 \text{ mg}/\text{kg}$ rat dose was fixed by considering the 488
reported 125 mg of PLB adult dose, 46% of oral bioavailability, and 489
metabolic rate in the rats. The rats were randomly segregated into 490
four groups with three animals in each group. Intravenous injections 491
of saline (vehicle control), free PLB (drug control), PLB-CS NPs 492
(nontargeted NPs), and PLB-CS-g-Egen NPs (targeted NPs) were 493
done at a dose of $5.91 \text{ mg}/\text{kg}$ at an interval of 3 days. On the 15th 494
day, all rats were sacrificed, and vital organs (brain, lungs, liver, 495
kidney, and spleen) were isolated. The organs were washed with 496
normal saline and fixed in the mounting medium for cryostat. The 497
samples were sectioned in a cryomicrotome (Leica CM1950) having 498
 $5 \mu\text{m}$ thickness. The sample sections were stained with hematoxylin 499
and eosin (H & E) dye.⁴⁸ All the stained specimens were mounted on 500
glass slides for visualization under a bright microscope, and images 501
were captured by using a Dewinter microscope (Capture Pro 4.1 502
software). 503

504 **In Vivo Antitumor Activity. Animals.** Female SD rats (150–200 504
g body weight, 45–60 days of age) were maintained at room 505
temperature under standard conditions and foods. All the procedures 506
performed on the animals were approved by IAEC, IIT BHU, 507
Varanasi, India. 508

509 **Tumor Induction.** For the induction of the breast tumor, 5 mg of 509
7,12-dimethylbenz(a)anthracene (DMBA) was dissolved in 0.5 mL of 510
vehicle (sunflower oil) and administered subcutaneously to the rat 511
mammary pad on either side. All rats were screened for the 512
development of tumor at the beginning of the 8 weeks of DMBA 513
treatment. The screening involves palpitation of the mammary pad for 514
the development of any abnormal mass. Tumor yield and size were 515
stabilized after 75 days (approx.) of DMBA treatment.^{49–51} Rats were 516
kept palpitated, and when tumor size ranged from 5 to 8 mm, they 517
were analyzed for the *in vivo* anticancer activity. DMBA induced 518
breast tumors are overexpressed with hormonal receptors such as ER 519
and PR,⁵² and also the cell line derived from the DMBA induced 520
breast tumors exhibits a similar nature to that of the MCF7 cell line.⁵³ 521

522 **Histological Identification of the Tumor.** The developed tumor 522
from a rat (DMBA treated) was harvested and washed with normal 523
saline. The tumor was fixed in paraffin wax, and a $5 \mu\text{m}$ thick section 524
was prepared by using a microtome. As of today, H & E staining is the 525
gold standard technique for the differentiation of the tumor from the 526
normal tissue. The obtained tumor section was stained with H & E 527
stain as per the standard protocol, and a slide was prepared. Similarly, 528
a normal rat breast pad was isolated, and H & E staining was 529
performed for comparison with breast tumor. 530

531 Additionally, a prepared paraffin block of the tumor was sectioned 531
to get a $5 \mu\text{m}$ thick tissue and treated with ER antibody as per the 532
standard protocol of immunohistochemistry for the detection of ER 533
expression. The prepared specimen was subjected to ER scoring. As 534
per the College of American Pathologist (CAP) guideline for ER 535
scoring, $\geq 1\%$ immunoreactive tumor cells are considered as positive 536
for ER, whereas $< 1\%$ is considered as negative.⁵⁴ 537

538 **In Vivo Antitumor Study by Ultrasound and Photoacoustic** 538
Imaging. Female SD rats that had DMBA induced breast cancer were 539
used for the *in vivo* antitumor study. Breast tumor induced rats were 540
randomly distributed into four groups, with each group containing 541
three rats ($n = 3$). All the animals with breast tumor were scanned by 542
using ultrasound and a photoacoustic imaging system (Vevo LAZR_X 543
Vevo 3100 imaging system, Toronto, Canada) equipped with a 40 544
MHz ultrasound array transducer prior to the treatment with 545
NPs.^{55,56} The scanning of the breast tumor was performed in B- 546
mode (ultrasound mode), power Doppler mode (for vascularity), and 547
photoacoustic mode. All the animals received intravenous dosing of 548
the free drug and NPs ($5.91 \text{ mg}/\text{kg}$ body weight). The first group 549
(control) received no treatments, the second group received PLB 550
(drug control), the third group received PLB-CS NPs, and the fourth 551
group received PLB-CS-g-Egen NPs. Photoacoustic and ultrasound 552
images of the breast tumors were visualized at 0, 2, 4, and 8 days after 553
treatments. For the visualization of the tumor area in ultrasound and 554
photoacoustic analysis mode, the tumor area was irradiated with a 700 555
nm, 40 MHz pulse repetition frequency. The captured ultrasound and 556
photoacoustic images were overlaid to determine the size, shape, and 557

Table 2. PS, PDI, ZP, EE, and IC₅₀ Value of Developed NPs^a

batches	PS (mean ± SD ^b)	PDI (mean ± SD ^b)	ZP (mV) (mean ± SD ^b)	EE (mean ± SD ^b)	IC ₅₀ (μg/mL) (mean ± SD ^b)	
					MCF7	T47D
PLB					41.86 ± 1.53	48.32 ± 1.296
blank CS NPs	101.2 ± 2.73	0.198 ± 0.058	19.90 ± 0.568			
PLB-CS NPs	116.3 ± 1.53	0.240 ± 0.032	18.70 ± 0.416	72.93 ± 1.297	3.45 ± 0.45	6.29 ± 0.67
PLB-CS-g-Egen NPs	141.6 ± 1.97	0.220 ± 0.042	12.45 ± 0.574	75.79 ± 2.195	0.73 ± 0.07	1.59 ± 0.008
CM6-CS NPs	110.2 ± 1.27	0.240 ± 0.022	17.20 ± 0.354	86.69 ± 1.675		
CM6-CS-g-Egen NPs	135.3 ± 1.41	0.250 ± 0.014	11.38 ± 0.251	84.47 ± 2.161		

^aBlank CS NPs: blank chitosan nanoparticles; PLB-CS NPs: nontargeted PLB loaded chitosan nanoparticles; PLB-CS-g-Egen NPs: estrogen receptor targeted PLB loaded chitosan nanoparticles; CM6-CS NPs: nontargeted coumarin-6 loaded chitosan nanoparticles; CM6-CS-g-Egen NPs: estrogen receptor targeted coumarin-6 loaded chitosan nanoparticles; PS: particle size; PDI: polydispersity index; ZP: zeta potential; and EE: entrapment efficiency. ^b*n* = 3; SD: standard deviation.

558 oxygenation level of the tumor. Power Doppler images were analyzed
559 to check tumor vascularity and angiogenesis. All the images were
560 processed by using the Vevo LAB software (FUJIFILM VisualSonics,
561 Toronto, Canada).

562 Additionally, similar treatment groups of rats (control, PLB, PLB-
563 CS NPs, and PLB-CS-g-Egen NPs), including healthy rats (negative
564 control), were used separately for survival time analysis. The survival
565 study was performed for 6 months. During the study period, the
566 health condition of the rats was monitored weekly. The percentage
567 survival of rats was calculated, and the Kaplan–Meier survival curve
568 was plotted.⁵⁷

569 *In Vivo Breast Tumor Targeting Efficiency by IVIS Live Imaging.*
570 *In vivo* fluorescence imaging of free DiD (control), DiD-CS NPs, and
571 DiD-CS-g-Egen NPs was performed on DMBA induced breast tumor
572 in rats using the Photon Imager Optima System (Biospace Lab). The
573 free DiD and DiD loaded NPs equivalent to 200 nM of DiD dye were
574 administered intravenously by the tail vein to the rats, and the
575 fluorescence signals were captured at excitation and emission
576 wavelengths of 620 and 710 nm, respectively, at 2, 4, 6, and 8 h
577 postinjection. The radiant efficiency (measured as fluorescence
578 intensity/area/time) was analyzed using the Biospace Lab imaging
579 software by the region of interest (ROI) tool, in circled the breast
580 tumor area.²³

581 **Statistical Analysis.** Data from the *in vitro* and *in vivo*
582 experiments were presented as the mean ± SD (*n* = 3). GraphPad
583 Prism 7.0 was used for the statistical calculation. One-way ANOVA
584 and the *t* test were used for the calculation of the statistical
585 significance among groups. The statistically significant level was
586 considered as ns (*p* ≥ 0.05), * (*p* < 0.05), ** (*p* < 0.01), *** (*p* <
587 0.001), and **** (*p* < 0.0001).

588 ■ RESULTS AND DISCUSSION

589 Characterization of the CS-g-Egen Conjugate. FTIR.

590 The FTIR spectra of CS, Egen, Egen-COOH, and CS-g-Egen
591 were compared to analyze the synthesized Egen-COOH and
592 CS-g-Egen, as shown in Figure S1. Egen demonstrated the
593 FTIR peaks of OH, C–H, C=O (ketone), C–O, and C=C
594 (aromatic) stretching peaks at 3360, 2909, 1718, 1240, and
595 1556 cm⁻¹. Egen-COOH showed OH, C–H, C=O (ester),
596 C–O, and C=C (aromatic) stretching vibrations at 3303,
597 2943, 1725, 1285, and 1658 cm⁻¹, respectively. A slight shift in
598 the FTIR peak of Egen was observed after carboxylation and
599 formation of the ester bond. Meanwhile, CS-g-Egen demon-
600 strated OH, C–H, C=O (amide), C–O, and C=C
601 (aromatic) stretching vibrations at 3360, 2898, 1678, 1217,
602 1048, and 1556 cm⁻¹, respectively, and NH bending vibrations
603 at 1624 cm⁻¹. When Egen-COOH was conjugated with CS,
604 the formation of the amide bond was observed, which led to a
605 slight shift in the FTIR peaks. Table S1 in the Supporting
606 Information shows all the characteristic peaks related to their
607 functional groups.

NMR. Figure S2 displays the ¹H NMR spectra of CS, Egen, 608
Egen-COOH, and CS-g-Egen. The spectra of CS displayed the 609
peak of the NH₂ functional group at 1.8–2 ppm, whereas these 610
peaks were absent in the spectra of Egen-COOH because of 611
the substitution. However, the spectra of Egen-COOH also 612
displayed the peaks of methylene protons of the conjugated 613
succinate group at 3 ppm and aromatic protons of estrogen at 614
6.5 and 7 ppm. Moreover, the spectra of CS-g-Egen consist of 615
the characteristic peak NH of the amide linkage at 8.3 ppm and 616
aromatic protons at 6.5, 7, and 7.6 ppm. All these findings 617
support the successful conjugation of CS-g-Egen. 618

High-Resolution Mass Spectroscopy. The synthesized 619
Egen-COOH and its conjugate with CS (CS-g-Egen) were 620
examined by time-of-flight mass spectroscopy (Figure 621
S3A,A1,A2,B). Egen was functionalized with –COOH by the 622
process of succinylation. The molecular weight of Egen was 623
270.4 g/mol, and after succinylation, it was increased to 370.4 624
g/mol. The molecular ion peaks of Egen-COOH (*M* + 1) were 625
at *m/z* of 371.18 (Figure S3A), and the *M* + 2 peak was 626
observed at *m/z* of 372.19 (Figure S3A2). The formation of 627
the amide linkage between the carbonyl carbon of Egen- 628
COOH and the amino group of CS releases a molecule of 629
water. The molecular weights of CS and Egen-COOH were 630
1526.5 and 370.4 g/mol, respectively. The molecular weight of 631
CS-g-Egen was approximately 1878.9 g/mol, and the molecular 632
ion peaks (*M* + 1, *M* + 2, *M* – 1, and *M* – 2) in the spectra of 633
CS-g-Egen appeared at *m/z* 1879.25, 1880.76, 1878.21, and 634
1877.33, respectively. The HRMS analysis (Figure S3) 635
demonstrated the successful formation of Egen-COOH and 636
conjugation of CS with Egen to form the CS-g-Egen graft 637
polymer. 638

Degree of Egen Substitution. The degree of substitution of 639
Egen to CS was found to be 0.82 ± 0.02. The degree of 640
conjugation can be a maximum of 1, which indicates that one 641
molecule of Egen successfully substituted for one molecule of 642
CS. In this case, we obtained 0.82 degree of substitution, which 643
indicates that 82% of the CS molecules have an Egen 644
substitution. 645

646 Nanoparticle Characterization. PS, PDI, and ZP of NPs.

647 The characterization of the NPs is presented in Table 2. The 648
developed NPs showed hydrodynamic diameters ranging from 649
101.2 ± 2.73 to 141.6 ± 1.97 nm. The obtained particle size 650
data demonstrated that developed NPs were in the range of 651
100–150 nm in size. The blank CS NPs were found to have a 652
size of 101.2 nm and a zeta potential of 19.90 mV, whereas 653
PLB loading significantly (*p* < 0.001) increased the particle size 654
(116.3 nm) and caused a slight reduction (*p* > 0.05, ns) in the 655
zeta potential (18.70 mV) of nontargeted NPs as a result of the

656 entrapment of the PLB in the polymeric matrix of CS.
 657 Additionally, in the case of targeted NPs, because of the
 658 incorporation of CS-g-Egen, significantly ($p < 0.001$) increased
 659 particle size (141.6 nm) and reduced zeta potential (12.45
 660 mV) were observed. The increase in size was attributed to the
 661 presence of Egen (targeting moiety) on the NP surfaces,
 662 whereas the decrease ($p < 0.001$) in the zeta potential was
 663 mainly due to the presence of a low number of free NH_2
 664 compared to nontargeted NPs. A statistical comparison of
 665 PLB, PLB-CS NP, and PLB-CS-g-Egen NP particle size and
 666 zeta potential is presented in Figure S4A,B.

667 **Determination of Entrapment Efficiency.** The amounts of
 668 drug entrapped in PLB-CS NPs and PLB-CS-g-Egen NPs were
 669 72.93 ± 1.997 and $75.79 \pm 2.195\%$, respectively (Table 2).
 670 Preconjugation of Egen did not significantly impact the EE of
 671 the targeted NPs. Similarly, the EEs of the CM6 in the CM6-
 672 CS NPs and CM6-CS-g-Egen NPs were found to be $86.69 \pm$
 673 1.675 and $84.47 \pm 2.161\%$, respectively. The entrapments of
 674 the PLB or CM6 in the nontargeted NPs and targeted NPs
 675 were not significantly ($p \geq 0.05$) different from each other.

676 **FE-SEM.** The morphology of the prepared NPs was analyzed
 677 by FE-SEM (FEI Pvt. Ltd., USA), and images were captured
 678 for PLB-CS NPs and PLB-CS-g-Egen NPs. Figure 1A
 679 demonstrates that developed NPs had a spherical morphology,
 680 a smooth texture, and uniformly dispersed particles. Targeted
 681 NPs were slightly bigger in size compared to nontargeted NPs.
 682 Additionally, nontargeted NPs had completely smooth
 683 surfaces, whereas targeted NPs showed slightly rough surfaces
 684 that may be due to the presence of Egen on the surface of the
 685 targeted NPs.⁵⁸

686 **TEM.** TEM uses an electron beam to capture images of the
 687 NPs. This technique provides a higher resolution for images.
 688 Figure 1B depicts TEM images of NPs with a 200 and 100 nm
 689 scale. Images of nontargeted NPs and targeted NPs showed a
 690 completely spherical shape.

691 **AFM.** AFM provides two-dimensional (2D) and three-
 692 dimensional (3D) topography of the NPs. It is a nonoptical
 693 imaging technique that provides high-resolution images of the
 694 NPs. The 2D and 3D AFM images in Figure 1C,D show that
 695 nontargeted NPs and targeted NPs were spherical in shape
 696 with a uniform particle size distribution. Additionally, targeted
 697 NPs appear to be slightly bigger in size compared to
 698 nontargeted NPs.

699 **Surface Chemistry by XPS.** X-ray photoelectron spectroscopy
 700 (XPS) was used to investigate the surface chemistry of
 701 PLB-CS NPs and PLB-CS-g-Egen NPs. The atomic signal of C,
 702 N, and O from NPs in terms of the XPS peak is presented in
 703 Figure 2A. In the XPS survey, C1s, N1s, and O1s were
 704 detected at the binding energies of 250–300, 400–450, and
 705 500–550 eV, respectively. The atomic percentages of C1s,
 706 N1s, and O1s in the nontargeted NPs were found to be 76.86,
 707 2.95, and 20.19%, respectively, whereas targeted NPs showed
 708 peaks of C1s, N1s, and O1s as 73.52, 1.51, and 24.97%,
 709 respectively. The decrease in the nitrogen atomic percentage in
 710 PLB-CS-g-Egen NPs was due to the preconjugation of the free
 711 nitrogen of the CS with Egen, and hence, the availability of the
 712 nitrogen atom on the surface of PLB-CS-g-Egen NPs was less
 713 than that of PLB-CS NPs. Additionally, an increase in the O1s
 714 signal in the targeted NPs again confirmed the presence of
 715 Egen on the targeted NP surface; this was mainly due to the
 716 presence of oxygen in the structure of Egen-COOH.

717 **Egen Surface Content.** The quantity of Egen present on the
 718 surface of PLB-CS-g-Egen NPs was found to be $71.2 \pm 2.0\%$.

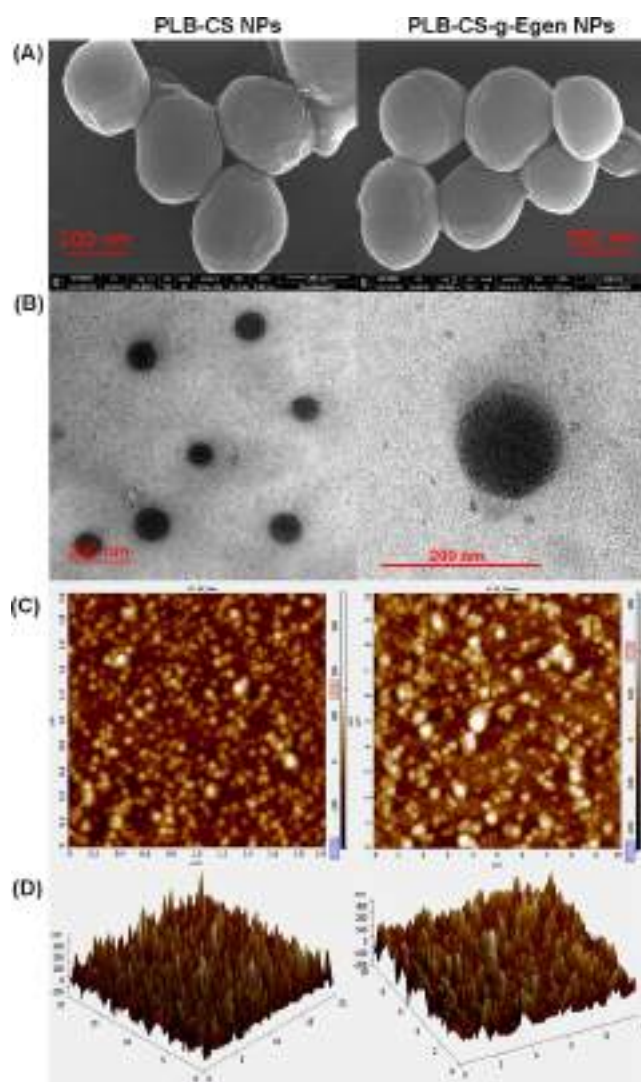


Figure 1. Morphological imaging of the PLB-CS NPs and PLB-CS-g-Egen NPs by (A) field emission scanning electron microscope (FE-SEM), (B) transmission electron microscope (TEM), (C) two-dimensional atomic force microscope (AFM), and (D) three-dimensional AFM.

719 The surface content of Egen is essential for the targeted
 720 delivery of PLB via receptor mediated endocytosis of the PLB-
 721 CS-g-Egen NPs.

722 **XRD Study.** An XRD study provided an understanding of
 723 the physical state of the drug in the formulation. Any changes
 724 in the physical state of the drug during the formulation of the
 725 NPs can be tracked by using XRD analysis. Most drugs exist in
 726 either crystalline or amorphous form; the amorphous form of
 727 the drug has good solubility and higher bioavailability
 728 compared to its crystalline counterpart.⁵⁹ The XRD data of
 729 PLB showed sharp multiple diffracted peaks at $2\theta = 7.83,$
 730 $10.19, 11.35, 13.86, 17.07, 18.64, 19.86, 21.15, 22.48,$ and
 731 22.95° . Pure PLB exists in the crystalline form (Figure 2B),
 732 which was in good agreement with previously reported data.⁶⁰
 733 All the PLB peaks were absent in the nontargeted and targeted
 734 NPs, suggesting that the drug was converted into the
 735 amorphous form following the NP preparations. Hence, the
 736 developed formulation was found to have PLB present in the
 737 molecular level inside the NPs. The amorphous form of PLB
 738 has higher bioavailability relative to its crystalline form and

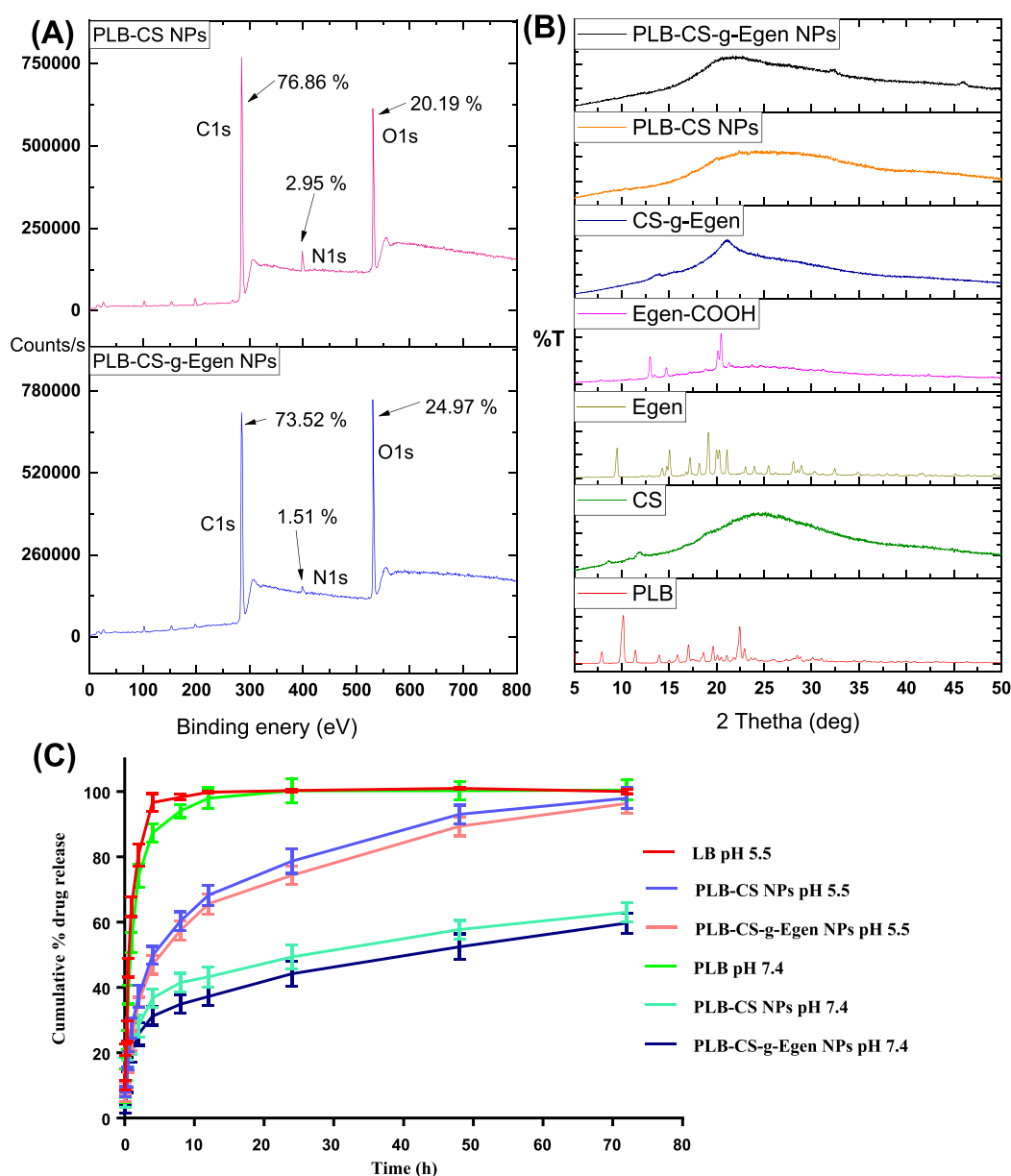


Figure 2. (A) X-ray photoelectron spectroscopy (XPS) analysis of PLB-CS NPs and PLB-CS-g-Egen NPs; (B) X-ray diffraction (XRD) spectra of PLB, CS, Egen, Egen-COOH, CS-g-Egen, PLB-CS NPs, and PLB-CS-g-Egen NPs; and (C) *in vitro* drug release profile of the PLB and NP formulations in the pH 5.5 acetate buffer and pH 7.4 phosphate buffer saline.

739 hence may enhance the bioavailability of PLB following NP
 740 preparation. The crystalline nature of Egen was reduced after
 741 conversion into Egen-COOH and further reduced after
 742 conjugation of CS. CS and CS-g-Egen polymers exist in
 743 amorphous forms as depicted in their XRD graph.

744 **In Vitro Studies. In Vitro Drug Release Studies.** *In vitro*
 745 drug release profiles of PLB-CS NPs and PLB-CS-g-Egen NPs
 746 at pH 5.5 and 7.4 are presented in Figure 2C. The
 747 physiological pH of the normal human system is pH 7.4,
 748 whereas the tumor microenvironment has acidic pH (below
 749 6.0).^{61,62} T_{50} is the time at which 50% of the loaded drug is
 750 released in the medium under a given set of conditions. The
 751 T_{50} values of the PLB and nontargeted and targeted NPs in pH
 752 5.5 were found to be 0.55 ± 0.05 , 4.04 ± 0.22 , and 5.97 ± 0.27
 753 h, respectively, whereas the T_{50} values of the PLB and
 754 nontargeted and targeted NPs in pH 7.4 were found to be 0.83
 755 ± 0.04 , 25.20 ± 0.5 , and 40.80 ± 0.6 h, respectively. The T_{50}

756 value of PLB was significantly ($p < 0.001$) lower than those of
 757 targeted and nontargeted NPs at pH 5.5 and 7.4. Figure S4C,D
 758 presents the statistical comparisons of PLB, PLB-CS NP, and
 759 PLB-CS-g-Egen NP T_{50} at pH 5.5 and 7.4.

760 The release profiles of PLB-CS NPs and PLB-CS-g-Egen
 761 NPs demonstrated a pH-dependent drug release profile. Nontargeted and targeted NPs demonstrated faster drug
 762 release at pH 5.5, which may be due to the protonation of
 763 the CS amino group increasing its aqueous solubility, and also,
 764 the drug releasing medium can easily diffuse into the NPs and
 765 promotes drug release faster. Meanwhile, at pH 7.4,
 766 protonation of CS was not feasible; hence, the NP structure
 767 remained compact, and diffusion of the drug from NPs
 768 occurred slowly. The zeta potentials of PLB-CS NPs and PLB-
 769 CS-g-Egen NPs at pH 5.5 were found to be 21.61 ± 0.351 and
 770 16.52 ± 0.351 mV, respectively, which were higher than the
 771 zeta potentials of PLB-CS NPs (18.70 ± 0.416 mV) and PLB-
 772

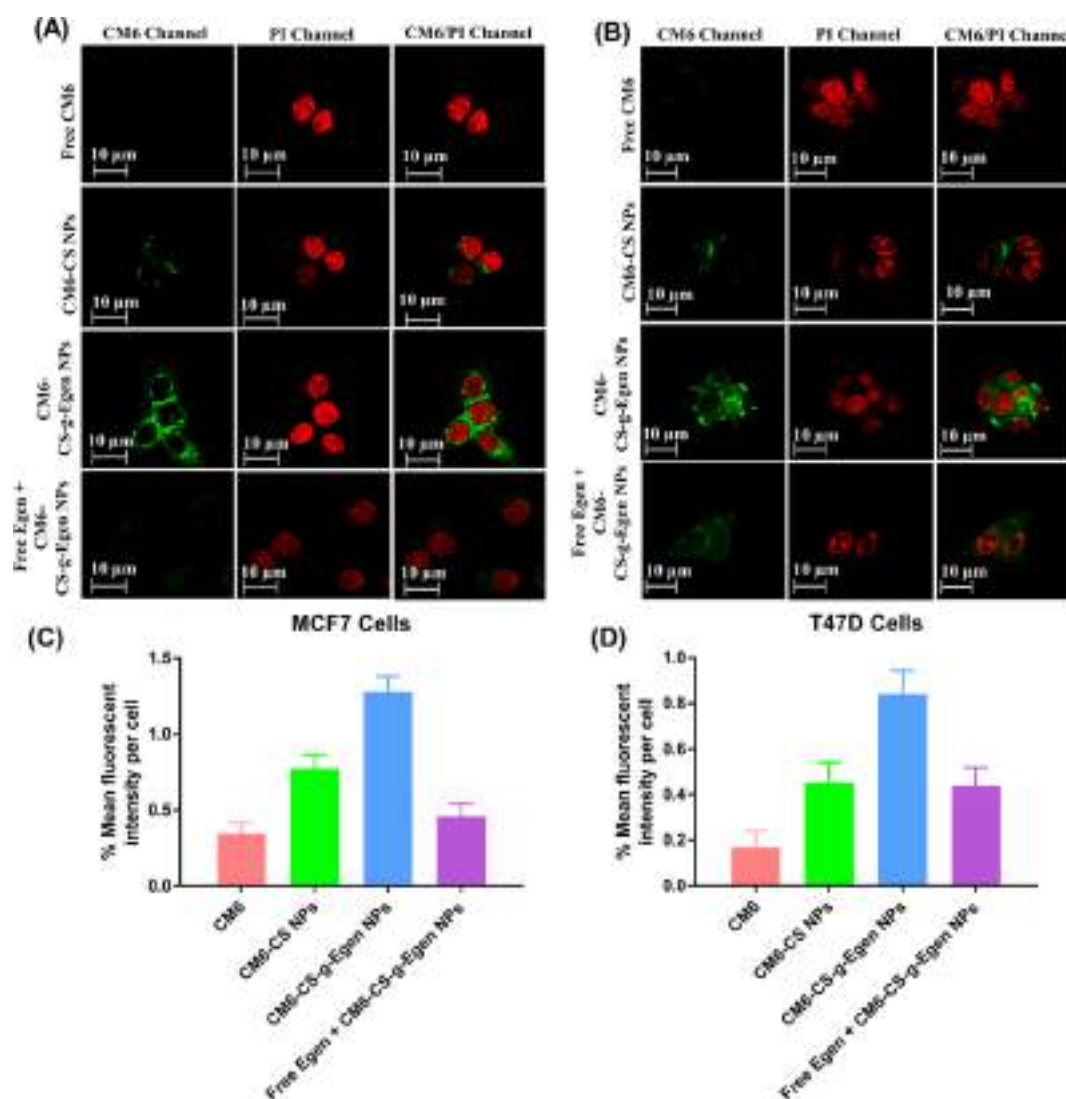


Figure 3. Confocal laser scanning microscopy (CLSM) images demonstrating the cellular uptake of the free CM6 (first row), CM6-CS NPs (second row), CM6-CS-g-Egen NPs (third row), and CM6-CS-g-Egen NPs after pretreatment with Egen (fourth row) in (A) MCF7 cells and (B) T47D cells. The left column (CM6 channel) shows the green fluorescence of CM6 distributed in the cytoplasm of (A) MCF7 cells and (B) T47D cells; the middle column (PI channel) shows the red fluorescence from the PI-stained nucleus; and the right column (merged) shows the CM6, CM6 loaded NPs, and PI stained nucleus. % Mean fluorescent intensity (green channel) per cell in (C) MCF7 cells and (D) T47D cells after cellular uptake of CM6, CM6-CS NPs, and CM6-CS-g-Egen NPs.

773 CS-g-Egen NPs (12.45 ± 0.574 mV) at pH 7.4, confirming the
 774 protonation of the NPs at pH 5.5. Free PLB release is faster in
 775 both media because of the absence of any controlling barrier
 776 except the dialysis membrane. The NPs demonstrated an initial
 777 burst release up to 2 h, which may be due to the release of drug
 778 adhered to the NP surface and the faster diffusion of drug
 779 present just beneath of NP surface. Later on, sustained release
 780 of PLB may be attributed to the slower diffusion of the drug
 781 from the NPs' inner part and core. PLB is distributed into the
 782 NPs' polymeric matrix, and hence, the drug may take a longer
 783 time to reach the NP surface.

784 Moreover, the pH responsive release pattern of the NPs at
 785 pH 5.5 (faster release) can be favorable for treating tumors
 786 because of its acidic microenvironment.⁶³

787 **Hemolysis and Hemocompatibility Study.** *Blood*
 788 *Smear.* Nanoscale materials fall within the spectrum of viral
 789 sizes, and immunogenic proteins can stimulate the immune
 790 system, trigger an inflammatory process, and change

hematological parameters.⁶⁴ We performed hematological
 791 analysis to observe any potential toxicity of PLB, PLB-CS
 792 NPs, and PLB-CS-g-Egen NPs. In this study, deionized water
 793 was taken as a positive control that causes 100% hemolysis,
 794 and normal saline (pH 7.4) was taken as a negative control
 795 (nonhemolytic). The blood samples were treated with different
 796 formulations and stained with Leishman stain for visualization
 797 under a bright microscope (Figure SSC) at a resolution of 40 \times .
 798 The obtained images depicted that treatment with PLB, PLB-
 799 CS NPs, and PLB-CS-g-Egen NPs did not affect the
 800 morphology of the blood cells significantly, similar to the
 801 saline-treated samples.
 802

Hemolytic Assay. The hematological safety of the PLB,
 803 PLB-CS NPs, and PLB-CS-g-Egen NPs was analyzed by
 804 calculating the % of hemolysis that occurred after treatment
 805 with different formulations. Hemolysis %'s of PLB, PLB-CS
 806 NPs, and PLB-CS-g-Egen NPs were 3.761 ± 0.07 , $2.035 \pm$
 807 0.04 , and $1.718 \pm 0.10\%$, respectively. The results (Figure 808

809 SSA,B) demonstrated that PLB and nontargeted and targeted
810 NPs were nonhemolytic to human blood.⁶⁵

811 **In Vitro Cytotoxicity Assay.** The obtained result shows
812 that the pure drug (PLB) does not induce any significant
813 change in cell viability at lower concentrations, whereas their
814 encapsulation in the NP system increases their efficacy by
815 12.13-fold in MCF7 cells. Further, receptor mediated drug
816 delivery of NPs was found to be more effective as compared to
817 passive drug delivery (without receptor targeting). The IC₅₀
818 value of free PLB was found at ~41.86 μg/mL, whereas that of
819 PLB-CS NPs was found at ~3.45 μg/mL, and the IC₅₀ value of
820 PLB-CS-g-Egen NPs was found at ~0.73 μg/mL in MCF7 cells
821 (Figure S6A,B). In T47D cells, the IC₅₀ of PLB was found at
822 ~48.32 μg/mL, whereas the IC₅₀ of PLB-CS NPs was found at
823 ~6.29 μg/mL. Further, the IC₅₀ of PLB-CS-g-Egen NPs in
824 T47D cells was found at ~1.59 μg/mL (Figure S6C,D). The
825 IC₅₀ value of the free PLB was in the range of the reported
826 values in the literature.⁶⁶ So, on the basis of the obtained result,
827 it can be concluded that the inclusion of the receptor targeting
828 moiety in the NP system increases the potential of the drug
829 and makes it more than ~57-fold effective for anticancer
830 therapy. MCF7 cells and T47D cells are overexpressed with
831 ERs, and receptor mediated targeted PLB delivery can
832 significantly boost the anticancer efficacy of PLB. Further,
833 blank nontargeted NPs had ~97 and ~98% cellular viability in
834 MCF7 and T47D cells, respectively, whereas blank targeted
835 NPs had ~96 and ~94% cellular viability in MCF7 and T47D
836 cells, respectively. This confirmed that blank NPs were not
837 cytotoxic to MCF7 and T47D cells.

838 Recently, in a study, Parsian *et al.* developed PLB loaded
839 magnetic dendrimers and evaluated the cellular viability of
840 different breast cancer cells. *In vitro* cytotoxicity assays
841 demonstrated that PLB loaded magnetic dendrimers were
842 more sensitive to MCF7 cells relative to MDA-MB-231 cells
843 and SKBR3 cells, reducing cellular viability by up to 30% in
844 MCF7 cells.⁶⁷

845 **Cellular Uptake Study.** Cellular uptake is a key parameter
846 for the prediction or estimation of the therapeutic efficacy of
847 the NPs. To enhance the cellular internalization of the NPs
848 into the ER-positive breast tumor, active targeting via receptor
849 mediated endocytosis has been utilized in this study. CM6 is
850 the model dye used for the study of the NP cellular uptake in
851 the cancer cell lines. The incubation of the CM6, CM6-CS
852 NPs, and CM6-CS-g-Egen NPs with MCF7 cells and T47D
853 cells at an equivalent dose of 5 μg/mL of CM6 demonstrated
854 that nontargeted and targeted NPs have higher cellular uptake
855 compared to the free CM6 (Figure 3). Additionally, targeted
856 NPs have significantly higher fluorescence relative to non-
857 targeted NPs, suggesting the receptor mediated cellular uptake
858 of Egen functionalized targeted NPs. The overexpressed mER
859 is present on the cell membrane of MCF7 cells and T47D cells,
860 whereas ERα and ERβ are predominantly present in the
861 cellular cytosol or nucleus.^{68,69} Nontargeted NPs were
862 internalized by cells via passive uptake that includes adhesion
863 of the NPs (CS is positively charged) to the negatively charged
864 cancer cells and internalization of the NPs. Additionally,
865 nontargeted NPs show enhanced permeation and retention
866 effects due to leaky blood vessels in the tumor microenviron-
867 ments. Moreover, the functionalization of Egen on targeted
868 NPs has added the value of receptor mediated transcytosis and
869 passive diffusion. Nontargeted NPs were internalized into the
870 MCF7 and T47D cells and localized in the cytoplasm of the
871 cells (Figure 3A,B, second row), whereas targeted NPs

872 demonstrated enhanced cellular internalization due to the
873 presence of mER, ERα, and ERβ. Targeted NPs also
874 demonstrated nuclear uptake of the NPs due to ERα and
875 ERβ mediated cellular uptake (Figure 3A,B, third row).
876 Further, receptor blocking of the MCF7 cells and T47D with
877 free Egen demonstrated reduced cellular uptake of the targeted
878 NPs, which confirmed the receptor mediated cellular uptake of
879 the CM6-CS-g-Egen NPs. For the quantitative cellular uptake
880 study, % mean fluorescent intensities (green channel) per cell
881 in MCF7 cells and T47D cells after cellular uptake of CM6,
882 CM6-CS NPs, and CM6-CS-g-Egen NPs were calculated by
883 using the ImageJ software (Figure 3C,D). The % mean
884 fluorescent intensities of CM6, CM6-CS NPs, CM6-CS-g-Egen
885 NPs, and Egen pretreated + CM6-CS-g-Egen NPs per cell in
886 MCF7 cells were 0.346 ± 0.07, 0.775 ± 0.09, 1.28 ± 0.102,
887 and 0.46 ± 0.08%, respectively. Meanwhile, in T47D cells, the
888 CM6, CM6-CS NPs, CM6-CS-g-Egen NPs, and Egen
889 pretreated + CM6-CS-g-Egen NPs had % mean fluorescent
890 intensities of 0.170 ± 0.07, 0.453 ± 0.09, 0.842 ± 0.101, and
891 0.44 ± 0.06%, respectively.

892 **Hoechst/PI Dual Staining for Apoptosis.** Chromatin
893 condensation, nuclear DNA fragmentation, and cellular
894 membrane blebbing are the major hallmarks of apoptosis
895 after anticancer drug treatment.⁷⁰ In this study, we have used
896 Hoechst and PI to stain the nuclei of the cells after PLB, PLB-
897 CS NP, and PLB-CS-g-Egen NP treatments. Hoechst 33342 is
898 a vital dye that stains the nuclei of both live and apoptotic cells,
899 whereas PI only enters the cells that have compromised cellular
900 membrane.⁷¹ Hoechst stains the condensed chromatin more
901 brightly in apoptotic cells compared to normal cells. The
902 staining patterns resulting from the simultaneous use of these
903 dyes help in the differentiation of the normal cells and
904 apoptotic cells by using a fluorescence microscope or flow
905 cytometer. Incubation of the MCF7 cells and T47D cells with
906 PLB did not significantly induce apoptosis. Targeted NPs
907 significantly promoted apoptosis in MCF7 cells and T47D cells
908 compared to nontargeted NPs due to receptor mediated
909 (mER, ERα, and ERβ) endocytosis of the NPs, which is also
910 evident from MTT assay and cellular uptake study. Addition-
911 ally, receptor mediated cellular internalization was further
912 confirmed by blocking or saturating the ER of cells with free
913 Egen and treating cells with targeted NPs. The obtained results
914 demonstrated that a smaller number of apoptotic cells were
915 observed in the receptor blocked group of cells after treatment
916 with targeted NPs. Moreover, targeted NPs demonstrated a
917 higher number of apoptotic cells in MCF7 cells compared to
918 the T47D cells. Figure S7A presents the apoptosis study of
919 PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs in MCF7 cells,
920 whereas Figure S7B presents the apoptosis study in the T47D
921 cells.

922 **Cell Cycle Analysis.** Further, the process by which drug
923 and drug loaded NPs inhibit the growth of MCF7 has been
924 confirmed by flow cytometry analysis. PLB is a reversible
925 inhibitor of CDK4/6. It inhibits the phosphorylation of the
926 retinoblastoma (Rb), leading to the blocking of cell cycle
927 progression from the G1 to S phase. After the treatment of
928 MCF7 cells with PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs,
929 PI-stained cells were analyzed in a flow cytometer. The
930 obtained data were processed by the Cytoflex software. The
931 nontargeted and targeted NPs significantly promoted cell cycle
932 arrest in the G1 phase by ~1.18-fold ($p < 0.01$) and ~1.32-fold
933 ($p < 0.001$), respectively, compared to the free PLB (Figure 4).
934 The obtained data from cell cycle analysis are in good

935 agreement with the MTT assay, cellular uptake, and apoptosis
936 study.

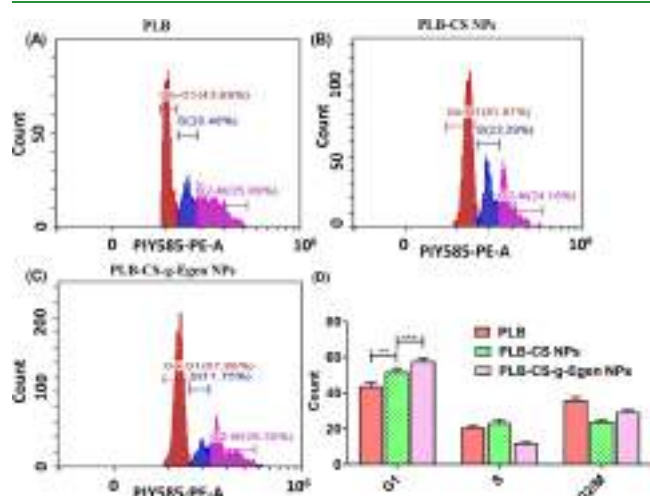


Figure 4. Cell cycle distribution analysis of MCF7 cells after treatment with (A) free PLB, (B) PLB-CS NPs, and (C) PLB-CS-g-Egen NPs. (D) Statistical comparison of the cell cycle distribution analysis of free PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs.

937 **Pharmacokinetic Study.** The pharmacokinetic profiles
938 and parameters of free PLB, PLB-CS NPs, and PLB-CS-g-Egen
939 NPs are presented in Figure 5A and Table 3. The graph shows
940 the PLB plasma concentration against time after the intra-
941 venous administration of 5.91 mg/kg equivalent dose of
942 formulations. All the pharmacokinetic parameters were
943 calculated by using Kinetica 5.0. Entrapment of the PLB in
944 the NPs significantly improved the bioavailability of the PLB-
945 CS NPs and PLB-CS-g-Egen NPs by 2.57- and 2.89-fold,
946 respectively, compared to PLB. Additionally, $T_{1/2}$ of the
947 nontargeted and targeted NPs increased by 1.90- and 1.93-fold,
948 respectively, compared to free PLB. Further, the AUC_{total} of
949 nontargeted NPs and targeted NPs was 2.57- and 2.89-fold
950 higher, respectively, compared to free PLB. A nonsignificant
951 difference in pharmacokinetic parameters of nontargeted NPs
952 and targeted NPs was observed. Overall, the incorporation of
953 the PLB into the NPs significantly improved the pharmaco-
954 kinetic profile.

955 **Histopathology Study.** After administration of the free
956 PLB, PLB-CS-g-Egen NPs, and PLB-CS-g-Egen NPs at the
957 dose of 5.914 mg/kg, vital organs (brain, lungs, liver, kidney,
958 and spleen) were harvested and processed for H & E staining.
959 The specimen of the different organs was observed under a
960 microscope, and captured images are presented in Figure 5B.
961 The obtained histopathological images of the control rat were
962 compared with NP-treated rats to identify any toxicity
963 associated with treatment with different formulations. Careful
964 examination of the histopathological images of the control
965 group of animals demonstrated that vital organs are free from
966 pathological lesions. Meanwhile, animals receiving free PLB
967 showed lesions in the brain, liver, lungs, and spleen.
968 Nontargeted NPs have a slightly improved safety profile of
969 the drug as demonstrated in the histopathological images.
970 Finally, targeted NPs did not show any toxicity to the vital
971 organs, and images were similar to the control group of
972 animals.³⁵

973 **In Vivo Antitumor Activity.** The breast tumor induced SD
974 rats were subjected to photoacoustic and ultrasound imaging at

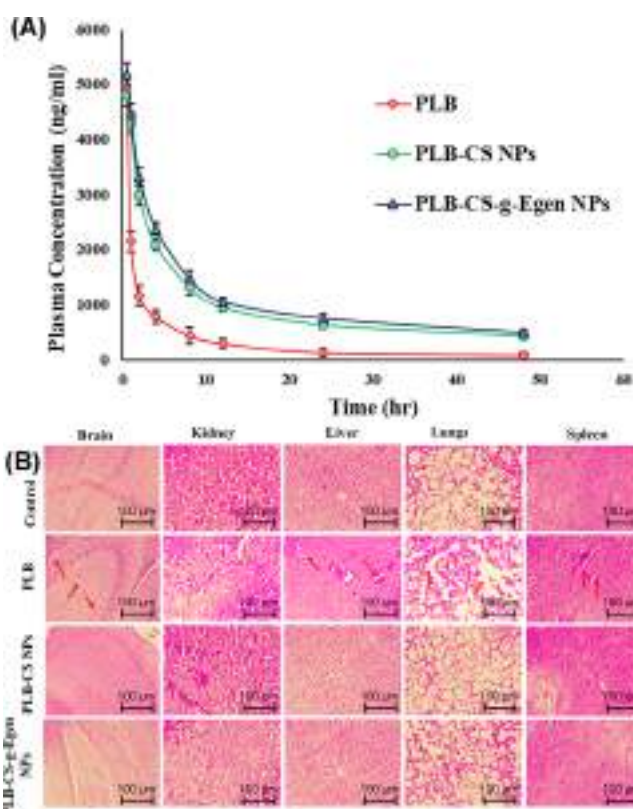


Figure 5. (A) Pharmacokinetic study showing the plasma concentration vs time profile of drug concentration after the intravenous administration of PLB, PLB-CS-g-Egen NPs, and PLB-CS-g-Egen NPs. (B) Histopathological images of the rats' vital organs after treatments with PLB, PLB-CS NPs, and PLB-CS-g-Egen NPs. Arrow (red) indicates the location of the lesion.

0 day (before treatments) and at 2, 4, and 8 days after 975
976 treatments. The ultrasound/PA images of the breast tumor
977 demonstrated a significant reduction of the breast tumor after
978 the administration of the targeted NPs compared to the
979 nontargeted and free PLB, whereas the tumor size was
980 increased in the control animal receiving only saline (control
981 group). At the eighth day, the breast tumor completely
982 vanished in the rats receiving targeted NPs (Figure 6A,B).

Inadequate oxygen supply to the tissue, which compromises 983
984 biologic functions, is known as tissue hypoxia. Hypoxia,
985 identified as one of the signature marks of solid malignant
986 tumors, results from a lesser oxygen supply beyond 70 to 150
987 μm of the tumor vasculature system developed by rapidly
988 proliferating malignant cells.^{72,73} Experimental and clinical
989 studies provided sufficient evidence to establish a fundamental
990 role for hypoxia in solid tumors. The pathophysiologic
991 condition of malignant tumor leads to structurally and
992 functionally disturbed microcirculation and the deterioration
993 of diffusion conditions, which result in tumor hypoxia.⁷⁴
994 Intratumoral hypoxia, which is primarily brought on by
995 structural and functional anomalies in the vascular endothe-
996 lium, is frequently linked to a more aggressive phenotype,
997 a higher likelihood of metastasis, and therapeutic resistance.³⁰
998 Tumors with similar size and morphology may have different
999 levels of hypoxia and tumor vascularity. At the beginning of the
1000 study, before the treatment, all groups of rat breast tumor were
1001 confirmed to have equivalent hypoxic tumor volume and
1002 tumor vascularity (Figure 7). After the treatments, the hypoxic

Table 3. Pharmacokinetic Parameters of PLB, Nontargeted NPs (PLB-CS-g-Egen NPs), and Targeted NPs (PLB-CS-g-Egen NPs) after Intravenous Injection at an Equivalent PLB Dose of 5.91 mg/kg^a

parameters	PLB (mean \pm SD ^b)	PLB-CS NPs (mean \pm SD ^b)	PLB-CS-g-Egen NPs (mean \pm SD ^b)
AUC _{total} (ng·h/mL)	18,382.37 \pm 1265.76	47,201.11 \pm 1345.32	53,196.62 \pm 1264.62
C _{max} (ng/mL)	4948.94 \pm 129.78	4808.03 \pm 62.85	5186.33 \pm 48.34
T _{1/2} (h)	17.31 \pm 0.62	32.90 \pm 5.89	33.45 \pm 6.05
MRT (h)	15.06 \pm 0.35	39.16 \pm 9.35	39.96 \pm 4.87
V _d (L/kg)	1.45 \pm 0.03	0.83 \pm 0.04	0.74 \pm 0.03
Cl _{total} (mL/kg·h)	57.92 \pm 0.52	17.47 \pm 0.95	15.39 \pm 0.82
K _E (h ⁻¹)	0.040 \pm 0.001	0.021 \pm 0.004	0.020 \pm 0.002
F _R		2.57	2.89

^aBlank CS NPs: blank chitosan nanoparticles; PLB-CS NPs: nontargeted PLB loaded chitosan nanoparticles; and PLB-CS-Egen NPs: estrogen receptor targeted PLB loaded chitosan nanoparticles. ^bn = 3; SD: standard deviation

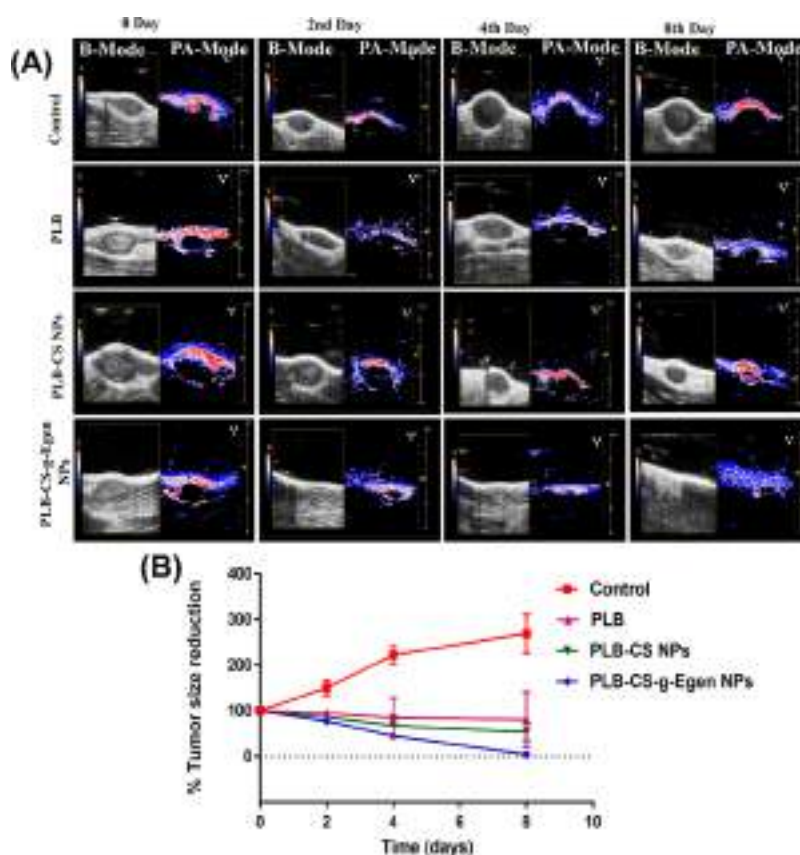


Figure 6. (A) Ultrasound and photoacoustic imaging of the breast tumor before and after treatment with PLB, PLB-CS NPs, and PLB-CS-Egen NPs. (B) Effect of the treatment with PLB, PLB-CS NPs, and PLB-CS-Egen NPs on the tumor size in rats.

tumor volume was significantly reduced in the NP treatment group ($p < 0.05$), and at the eighth day after the treatments with targeted NPs, hypoxic tumor volume completely vanished, but the free PLB treated and nontargeted NPs treated group showed hypoxic tumor volumes of 24.18 ± 2.13 and 17.45 ± 2.10 mm³. In the case of the control group, the hypoxic tumor volume (116.53 ± 5.11 mm³) was significantly increased ($p < 0.0001$) relative to its 0 day hypoxic tumor volume (29.94 ± 1.02 mm³) (Figure 7A,B).

For the proliferation of the tumor, it requires a continuous supply of nutrients to the tumor cells in a higher amount that provokes the development of the blood vessels (angiogenesis) inside and outside of the tumor. After the treatment with targeted NPs, angiogenesis was stopped, was further reduced as the days progressed, and finally vanished at the eighth day of treatments in the animal group receiving the targeted

treatments (Figure 8A,B). Meanwhile, the nontargeted NP treatment group had a slight reduction in the tumor vasculature up to the fourth day, but at the eighth day after the treatments, the tumor vasculature was significantly reduced. The free PLB treatment group also showed a slight reduction in the tumor vasculature. Further, the control group of rats showed an increase in the tumor vasculature at 2 days, a slight reduction at 4 days, and a further reduction at 8 days.

Additionally, after 8 days, the leftover tumors in these four groups of rats were extracted and subjected to H & E staining, and images were captured by using a light microscope (40 \times). Figure S8A demonstrates the normal breast stroma without any sign of the tumor (normal rats). In the treatment control (DMBA induced breast tumor) group receiving saline, the tumor has grown in the lobes of the breast with abnormal small and large nucleus/nuclei. Additionally, the DMBA treated rat

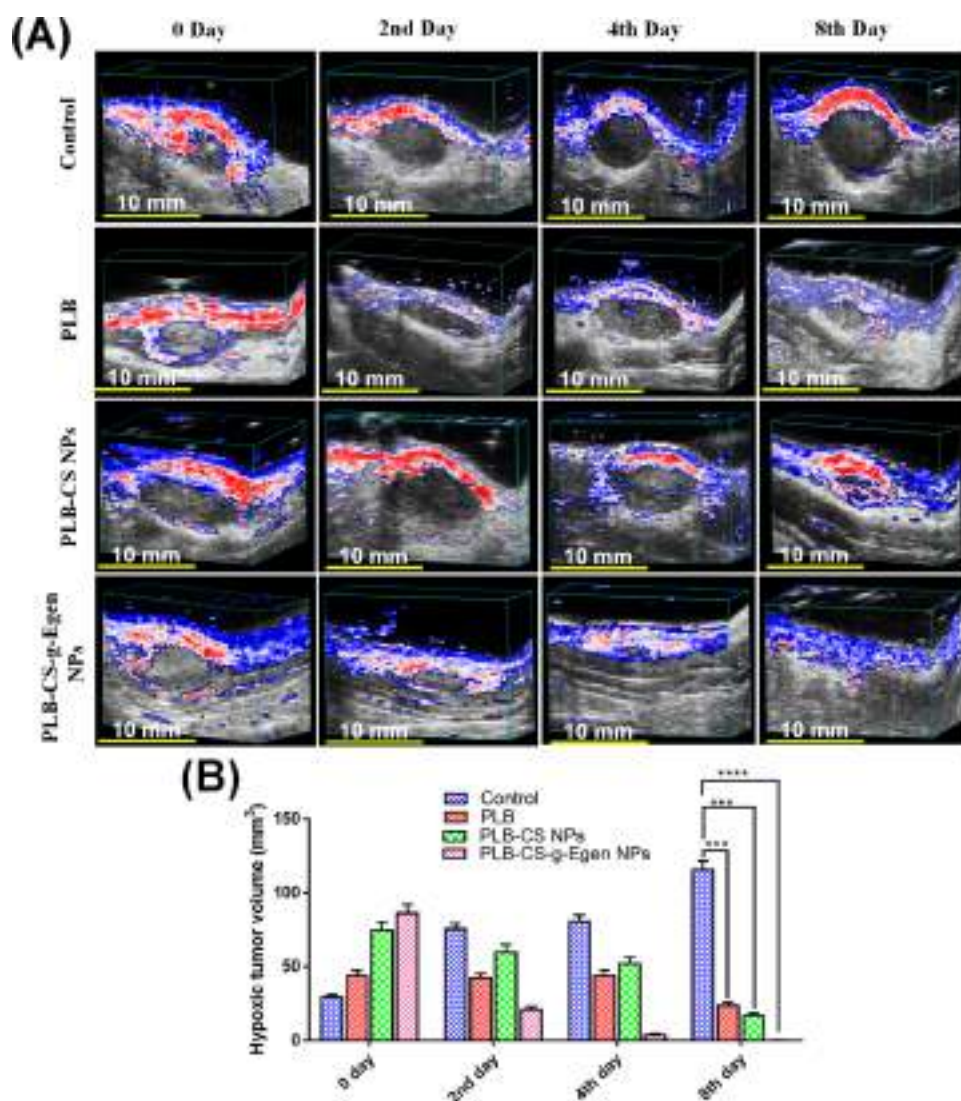


Figure 7. (A) Ultrasound and photoacoustic images of the breast tumor before and after treatment with PLB, PLB-CS NPs, and PLB-CS-Egen NPs and (B) analysis of the hypoxic tumor volume.

group's tumor was confirmed for ER expression by immunohistochemistry analysis; based on the ER scoring (College of American pathologist guidelines), 2% of the tumor cells exhibit nuclear staining ($\geq 1\%$ positive for ER). The obtained images were converted to black and white (B & W) images and counted for the number of nuclei present in each by using the ImageJ software (Figure S8B). The numbers of nuclei counted in the normal, saline-treated, PLB-treated, PLB-CS NP-treated, and PLB-CS-g-Egen NP-treated rat breast tumor samples were 80 ± 7.6 , 968 ± 16.09 , 851 ± 13.76 , 580 ± 8.54 , and 167 ± 4.18 , respectively (Figure S8C). The separated nuclei of the animal groups treated with saline were 80 ± 7.6 , which increased to 968 ± 16.09 ($p < 0.0001$) in the animals after DMBA treatment. The increase in nucleus area represents the establishment of breast tumor. After 8 days of treatment with free PLB, no significant effects on the tumor were observed, whereas nontargeted NPs ($p < 0.001$) showed a slight improvement and targeted NPs ($p < 0.0001$) demonstrated a significant reduction of the abnormal small and large nucleus/nuclei (Figure S8). Overall, targeted NPs are highly effective against DMBA induced breast cancer.

The survival study in the DMBA induced breast tumor rats post-treatment is presented in Figure S9. The study was conducted for 18 weeks, and Kaplan–Meier survival analysis was performed to calculate the mean survival rate of the rats. The tumor bearing control rats receiving saline survived up to 5 weeks, whereas the PLB-treated rats survived up to 9 weeks. In rats treated with nontargeted NPs, 66.67% of the population survived up to 16 weeks, and the remaining rat was able to survive beyond 18 weeks. Rats treated with targeted NPs survived beyond 18 weeks, and their survival was similar to that of healthy rats.

In Vivo Breast Tumor Targeting Efficiency by IVIS Live Imaging. *In vivo* fluorescence imaging (Figure S10A) demonstrated that of free DiD (control) and DiD-CS NPs, DiD-CS-g-Egen NPs were distributed to different body parts within 2 h. After 2 h of the administration, targeted NPs started accumulating in the breast tumor, but free DiD and nontargeted NP fluorescent signals were significantly less at the site of the tumor. Further, after 6 h of administration, it was observed that targeted NPs completely accumulated to the tumor site, whereas free DiD and nontargeted NP signals were still less at the tumor site. Moreover, after 8 h, the

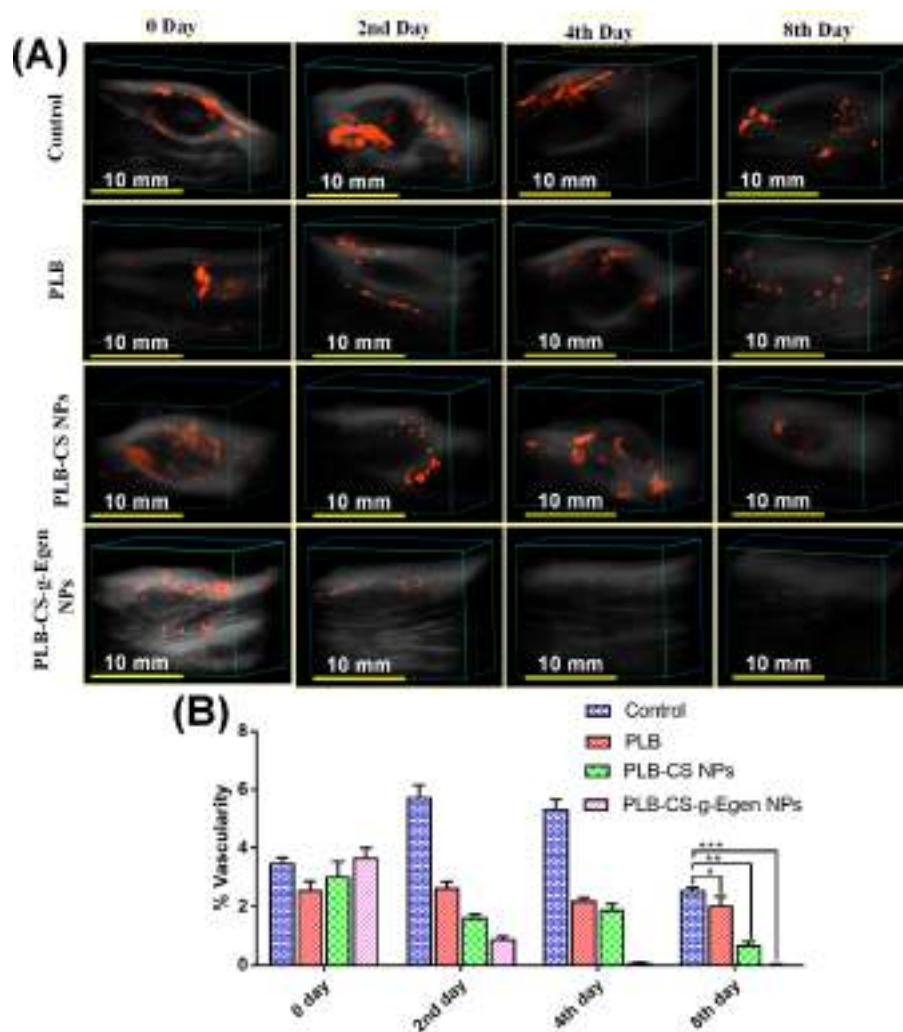


Figure 8. (A) Power Doppler images of the breast tumor before and after treatment with PLB, PLB-CS NPs, and PLB-CS-Egen NPs and (B) analysis of angiogenesis and tumor vascularity.

1078 concentration of the targeted NPs started declining at the
1079 tumor site, which may be due to the metabolism or
1080 degradation of the NPs in the tumor microenvironment.
1081 Additionally, the image (Figure S10B) of the control rat
1082 (without DiD administration) does not show any fluorescent
1083 or background signal. The quantitative radiant efficiency signal
1084 from the free DiD, DiD-CS NPs, and DiD-CS-g-Egen NPs
1085 after administration is presented in Figure S10C. These results
1086 confirm the targeted delivery of CS-g-Egen NPs to breast
1087 tumors.

1088 Recently, in a study, PLB loaded mesoporous silica NPs
1089 functionalized with MUC1 aptamer were prepared for targeted
1090 triple-negative breast cancer therapy. Additionally, indocyanine
1091 green loaded NPs were prepared for *in vivo* imaging of triple-
1092 negative breast cancer in Balb/c nude mice. The study
1093 demonstrated that MUC1 functionalized NPs selectively bind
1094 to the breast tumor. Hence, NPs conjugated with the targeting
1095 moiety can deliver the loaded drug to the targeted site for
1096 therapeutic applications.⁷⁵

1097 ■ CONCLUSIONS

1098 In this research work, an Egen functionalized CS-based graft
1099 polymeric nano drug delivery system was developed for the
1100 targeted delivery of PLB to the ER-positive breast tumor. The

entrapment efficiency of the PLB in the NPs was found to be
up to 75.79%, which confirms that solvent evaporation
followed by the ionic gelation method was suitable for the
preparation of the NPs. The DLS, FE-SEM, TEM, and AFM
analyses demonstrated that NPs were spherical in shape and
had smooth surfaces. The presence of Egen on the outer
surface of targeted NPs was confirmed by XPS analysis. The *in*
vitro drug release study depicted that NPs were found to have
sustained drug-releasing properties. The developed targeted
NPs had more apoptosis-inducing properties compared with
nontargeted NPs and free PLB, which were confirmed by the
apoptosis study and cell cycle analysis. Targeted NPs were
efficiently accumulated into the MCF7 cells as demonstrated in
the cellular uptake study, and pretreatment of the cells with
free Egen reduced the cellular uptake of the targeted NPs due
to ER saturation, which confirms the receptor mediated
endocytosis of the targeted NPs. The *in vitro* cytotoxicity assay
in MCF7 cells and T47D cells suggested that targeted NPs
were 57.34- and 30.32-fold more cytotoxic than the pure PLB,
respectively. There was a 2–3-fold enhancement in the half-life
and bioavailability of the NPs due to the entrapment of the
PLB inside the NPs. The targeted NPs were capable of
vanishing the DMBA induced breast tumor within 8 days of
treatment, which was confirmed by ultrasound and photo-

1125 acoustic imaging. Additionally, targeted NPs were capable of
1126 reducing the hypoxic tumor volume and tumor vascularity
1127 more efficiently compared with nontargeted and free PLB.
1128 Additionally, the biocompatibility and safety of the NPs were
1129 confirmed by an *in vitro* hemocompatibility and histopathology
1130 study. In summary, the developed targeted NPs showed great
1131 potential for delivering PLB to ERs-expressing hypoxic breast
1132 tumors.

1133 ■ ASSOCIATED CONTENT

1134 ■ Supporting Information

1135 The Supporting Information is available free of charge at
1136 <https://pubs.acs.org/doi/10.1021/acsami.3c03184>.

1137 FTIR spectrum; ¹H NMR spectrum; HRMS spectrum;
1138 particle size, zeta potential, and T₅₀ release graph;
1139 hemocompatibility study; cellular cytotoxicity assess-
1140 ment; apoptosis study; H & E staining of control and
1141 treated rats' breast tumor; Kaplan–Meier survival
1142 analysis; *in vivo* biodistribution of DiD, DiD-CS-NPs,
1143 and DiD-CS-g-Egen-NPs; and FTIR peak assignment
1144 (PDF)

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1177 Notes

1178 The authors declare no competing financial interest.

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